

INDEX HOLDINGS

COMPANY	TICKER	WEIGHT (%)	COMPANY	TICKER	WEIGHT (%)	COMPANY	TICKER	WEIGHT (%)
SQUARE INC - A	SQ US	3.43%	BROADCOM INC	AVGO US	1.34%	BANK OF AMERICA CORP	BAC US	0.81%
BLACKBERRY LTD	BB US	3.29%	MORGAN STANLEY	MS US	1.27%	VERIZON COMMUNICATIONS INC	VZ US	0.81%
NVIDIA CORP	NVDA US	3.15%	GENERAL ELECTRIC CO	GE US	1.25%	ADOBE SYSTEMS INC	ADBE US	0.80%
AMAZON.COM INC	AMZN US	3.07%	ELECTRONIC ARTS INC	EA US	1.19%	MEDTRONIC PLC	MDT US	0.78%
APPLE INC	AAPL US	3.06%	MATCH GROUP INC	MTCH US	1.19%	FOOT LOCKER INC	FL US	0.77%
NETFLIX INC	NFLX US	3.06%	BOEING CO/THE	BA US	1.18%	MERCK & CO. INC.	MRK US	0.76%
ADVANCED MICRO DEVICES	AMD US	2.96%	PAYPAL HOLDINGS INC	PYPL US	1.17%	INTEL CORP	INTC US	0.75%
FACEBOOK INC-A	FB US	2.96%	CELGENE CORP	CELG US	1.10%	WORKDAY INC-CLASS A	WDAY US	0.75%
MICRON TECHNOLOGY INC	MU US	2.93%	GOLDMAN SACHS GROUP INC	GS US	1.07%	EXACT SCIENCES CORP	EXAS US	0.73%
TESLA INC	TSLA US	2.65%	SPLUNK INC	SPLK US	1.06%	STARBUCKS CORP	SBUX US	0.72%
WALMART INC	WMT US	2.61%	PALO ALTO NETWORKS INC	PANW US	1.04%	VMWARE INC-CLASS A	VMW US	0.72%
MICROSOFT CORP	MSFT US	2.45%	FORD MOTOR CO	F US	0.95%	COCA-COLA CO/THE	KO US	0.70%
SALESFORCE.COM INC	CRM US	2.12%	NUTANIX INC - A	NTNX US	0.93%	INTL BUSINESS MACHINES CORP	IBM US	0.70%
ALPHABET INC-CL A	GOOGL US	2.09%	PFIZER INC	PFE US	0.91%	VEEVA SYSTEMS INC-CLASS A	VEEV US	0.68%
TWITTER INC	TWTR US	2.05%	QUALCOMM INC	QCOM US	0.89%	CVS HEALTH CORP	CVS US	0.66%
DISCOVER FINANCIAL SERVICES	DFS US	1.82%	GILEAD SCIENCES INC	GILD US	0.88%	UNITED PARCEL SERVICE-CL B	UPS US	0.66%
BAUSCH HEALTH COS INC	BHC US	1.82%	NORDSTROM INC	JWN US	0.85%	MANPOWERGROUP INC	MAN US	0.65%
LULULEMON ATHLETICA INC	LULU US	1.79%	COSTCO WHOLESALE CORP	COST US	0.85%	MASTERCARD INC - A	MA US	0.65%
AT&T INC	T US	1.64%	WALT DISNEY CO/THE	DIS US	0.85%	ABBVIE INC	ABBV US	0.65%
ACTIVISION BLIZZARD INC	ATVI US	1.59%	CONSTELLATION BRANDS INC-A	STZ US	0.85%	KEYCORP	KEY US	0.64%
TARGET CORP	TGT US	1.48%	EXXON MOBIL CORP	XOM US	0.84%	CATERPILLAR INC	CAT US	0.63%
VISA INC-CLASS A SHARES	V US	1.47%	APPLIED MATERIALS INC	AMAT US	0.84%	MCDONALD'S CORP	MCD US	0.61%
HOME DEPOT INC	HD US	1.46%	BEST BUY CO INC	BBY US	0.83%	ULTA BEAUTY INC	ULTA US	0.60%
CISCO SYSTEMS INC	CSCO US	1.37%	TJX COMPANIES INC	TJX US	0.83%	PEPSICO INC	PEP US	0.58%
TE CONNECTIVITY LTD	TEL US	1.35%	LOCKHEED MARTIN CORP	LMT US	0.81%	BRISTOL-MYERS SQUIBB CO	BMY US	0.55%

Subject to change.

The Fund will invest at least 90% of its net assets in securities that comprise the Underlying Index. Under normal conditions, the Fund generally will invest in all of the securities that comprise the Underlying Index in proportion to their weightings in the Underlying Index; however, under various circumstances, it may not be possible or practicable to purchase all of the securities in the Underlying Index in those weightings.

IMPORTANT DISCLOSURES & DEFINITIONS

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus, which contains this and other information call 866.759.5679 or visit alpsfunds.com. Read the prospectus carefully before investing.

BUZZ US Sentiment Leaders ETF shares are not individually redeemable. Investors buy and sell shares of the BUZZ US Sentiment Leaders ETF on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 50,000 shares.

The ability to invest based on social media analytics is relatively new and untested. The Fund may invest a significant portion of its assets in securities issued by companies in the information technology sector in order to track the Underlying index's allocation to that sector.

These types of funds typically have a high portfolio turnover that could increase transaction costs and cause short-term capital gains to be realized.

The Fund is not suitable for all investors. There are risks involved with investing in ETFs including the loss of money. The Fund is considered non-diversified and can invest a greater portion of assets in securities of individual issuers than a diversified fund. As a result, changes in the market value of a single investment could cause greater fluctuations in share price than would occur in a diversified fund. Funds that emphasize investment in small/mid cap companies will generally experience greater price volatility. Diversification does not eliminate the risk of experiencing investment losses. ETFs are considered to have continuous liquidity because they allow for an individual to trade throughout the day.

Natural language processing (NLP) deals with the application of computational models to text or speech data. NLP algorithms can be used in Sentiment analysis to identify and extract subjective information in source materials. Sentiment analysis is widely applied to reviews and social media for a variety of applications, ranging from marketing to customer service.

Artificial intelligence (AI) is the intelligence exhibited by machines or software. One of the central problems (or goals) of AI research include natural language processing (communication).

Social media, as it relates to the ETF, refers to social media sites.

Some examples of social media sites may include, but are not limited to, the following: Facebook, Twitter, LinkedIn, Digg, Reddit, RSS, blogs, Investopedia, stock forums, etc.

Defined investment universe of US stocks - in order to be eligible for inclusion in the Underlying Index, a company's stock must be traded on one or more major U.S. exchanges, have a minimum market capitalization of at least \$5 billion, and have a 3 month minimum average daily trading volume of \$1 million.

Investing in companies based on social media analytics involves the potential for market manipulation because social media posts may be made with an intent to inflate, or otherwise manipulate, the public perception of a stock or other investment.

Text and sentiment analysis of social media postings may prove inaccurate; that is, high positive sentiment may not correlate with negative change in the value of a company's stock.

ALPS Portfolio Solutions Distributor, Inc. is the Distributor for the BUZZ US Sentiment Leaders ETF.